0-06-0



FILED:

Attorney Docket No. 00042.04CON Express Mail No. EV 586169279 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: RABINOWITZ, et al. SERIAL NO.: 10/769,051

ART UNIT: 1616

JANUARY 30, 2004 TITLE:

DELIVERY OF PHYSIOLOGICALLY ACTIVE COMPOUNDS THROUGH AN

INHALATION ROUTE

CONFIRMATION NO.: 7033

EXAMINER: HAGHIGHATIAN,

MINA

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Applicant calls the Examiner's attention to the patents and publications listed on the attached Form PTO-1449, copies of required documents enclosed, which may be material to examination of the above identified application.

TIME OF TRANSMITTAL

This Information Disclosure Statement is being submitted under 37 CFR § 1.97(c). This Statement is filed after three months from the filing date of the national application, after three months from the date of entry into national stage under 37 CFR § 1.491, after the mailing of a first Office Action on the merits, or after the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR § 1.114, but before the mailing date of any of:

- a final action under 37 CFR § 1.113; (a)
- a notice of allowance under 37 CFR § 1.311; or (b)
- an action that otherwise closes prosecution in the application. (c)

This Statement is accompanied by a check for \$180 in accordance with 37 CFR §§1.97(c) and 1.17(p). The undersigned hereby authorizes the charging of any deficiency of fees submitted herewith to Deposit Account No. 19-5117.

10/07/2005 FMETEKI1 00000004 10769051

01 FC:1806

180.00 OP

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. This listed patents and publications are believed of interest herein and consideration and citation of as interest by Examiner is respectfully requested.

Respectfully submitted,

Date: Otober 5, 2005

Darla G. Yoerg, #48

Swanson & Bratschun, L.L.C.

1745 Shea Center Drive, Suite 330 Highlands Ranch, Colorado 80129

Telephone:

(303) 268-0066

Facsimile:

(303) 268-0065

cc: W. Leschensky

S:\CLIENTFOLDERS\ALEXZA\42.04CON\IDS.DOC

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

Information Cited by Applicant Page 1 of 3

ATTY. DOCKET NO.	SERIAL NO.
00042.04CON	10/769,051
APPLICANT	
Rabinowitz, et al.	
FILING DATE	GROUP
January 30, 2004	1616

U.S. PATENT DOCUMENTS							
EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLS	SUB- CLS	· FILE DATE
	AA	10/057,198	10/26/2001	Lloyd et al.			
	AB	10/146,088	05/13/2002	Hale et al.			
	AC	10/280,315	10/25/2002	Shen			
	AD	10/302,614	11/21/2002	Lu			
	AE	10/322,227	12/17/2002	Novack et al.			
	AF	10/442,385	05/20/2003	Cross et al.			
	AG	10/719,540	11/20/2003	Hale et al.			
	AH	10/750,303	12/30/2003	Rabinowitz et al.			
	AI	10/850,895	05/20/2004	Damani et al.			
	AJ	10/851,018	05/20/2004	Hale et al.			
	AK	10/851,429	05/20/2004	Hale et al.			
	AL	10/851,432	05/20/2004	Hale et al.			
	AM	10/851,883	05/20/2004	Hale et al.			
	AN	10/861,554	06/03/2004	Cross et al.			
	AO	10/912,417	08/04/2004	Bennett et al.			
	AP	10/917,720	08/12/2004	Hale et al.			
•	AQ	10/917,735	08/12/2004	Hale et al.			

	FOREIGN PATENT DOCUMENTS							
EXAM. INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLS	SUB CLS	TRANS	
	AR	GB 502 761	03/24/1939	Great Britain				
	AS	WO 94/09842	05/11/1994	WIPO				
	AT	WO 97/36574	10/09/1997	WIPO				
	AU	WO 03/37412	05/08/2003	WIPO				

		OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)				
	Av Office Action mailed 12/04/03 for US App. No. 10/057,198 filed 10/26/01,					
		"Method And Device For Delivering A Physiologically Active Compound"				
	AW	Office Action mailed 01/12/05 for US App. No. 10/057,197 filed 10/26/01,				
		"Aerosol Generating Device And Method"				
	AX	Office Action mailed 06/03/04 for US App. No. 10/057,197 filed 10/26/01,				
		"Aerosol Generating Device And Method"				
	AY	Office Action mailed 12/15/03 for US App. No. 10/057,197 filed 10/26/01,				
		"Aerosol Generating Device And Method"				
	AZ	Office Action mailed 02/27/04 for US App. No. 10/146,080 filed 05/13/02,				
		"Aerosol Forming Device For Use In Inhalation Therapy"				
XAMINER		DATE CONSIDERED				

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and <u>not</u> considered. Include copy of this form with next communication to applicant.

SACLIENTFOLDERSALEXZAV2.04CONIDS.DOC

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

List of Information Cited by Applicant
Page 2 of 3

ATTY. DOCKET NO.	SERIAL NO.	
00042.04CON	10/769,051	
APPLICANT		
Rabinowitz, et al.		
FILING DATE	GROUP	
January 30, 2004	1616	

-		T ====================================	U.S. PAT	ENT DOCUMENTS			
EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLS	SUB- CLS	FILE DATE
	BA	3,219,533	11/23/1965 [.]	Mullins		<u> </u>	
	ВВ	3,949,743	04/13/1976	Shanbrom			
	вс	4,141,369	02/27/1979	Burruss			
	BD	4,484,576	11/27/1984	Albarda			
	BE	4,566,451	01/28/1986	Badewien			
	BF	4,924,883	05/15/1990	Perfetti et al.			
	BG	4,963,289	10/16/1990	Ortiz et al.			
•	вн	5,042,509	08/27/1991	Banerjee et al.			
	ВІ	5,060,671	10/29/1991	Counts et al.			
	BJ	5,135,009	08/04/1992	Muller et al.			
	вк	5,144,962	09/08/1992	Counts et al.			
	BL	5,146,915	09/15/1992	Montgomery			
-	ВМ	5,224,498	07/06/1993	Deevi et al.			
	BN	5,345,951	09/13/1994	Serrano et al.			
	во	5,366,770	11/22/1994	Wang			
	BP	5,456,247	10/10/1995	Shilling et al.			
	BQ	5,511,726	04/30/1996	Greenspan et al.			
	BR	5,564,442	10/15/1996	MacDonald et al.			
	BS	5,592,934	01/14/1997	Thwaites			
	вт	5,605,146	02/25/1997	Sarela			
	BU	5,649,554	07/22/1997	Sprinkel et al.			
	BV	5,666,977	09/16/1997	Higgins et al.			
	BW	5,743,251	04/28/1998	Howell et al.			
•	BX	5,819,756	10/13/1998	Mielordt			
	BY	5,840,246	11/24/1998	Hammons et al.			
	BZ	5,855,913	01/05/1999	Hanes et al.			
	BAA	5,874,481	02/23/1999	Weers et al.			
	BBB	5,894,841	04/20/1999	Voges		1	
	всс	5,918,595	07/06/1999	Olsson et al.		<u> </u>	
	BDD	6,090,212	07/18/2000	Mahawili			
	BEE	6,131,570	10/17/2000	Schuster et al.			
	BFF	6,136,295	10/24/2000	Edwards et al.			
	BGG	6,155,268	12/05/2000	Takeuchi			

EXAMINER DATE CONSIDERED

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and <u>not</u> considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

List of Information Cited by Applicant

Page 3 of 3

ATTY. DOCKET NO.	SERIAL NO.
00042.04CON	10/769,051
APPLICANT	
Rabinowitz, et al.	
FILING DATE	GROUP
Ianuary 30, 2004	1616

U.S. PATENT DOCUMENTS							
EXAM. INITIAL		DOCUMENT NUMBER	DATE	NAME	CLS	SUB- CLS	FILE DATE
	CA	6,158,431	12/12/2000	Poole			
	СВ	6,234,167	05/22/2001	Cox et al.		<u>"</u>	
	сс	6,632,047	10/14/2003	Vinegar et al.			
	CD	6,701,922	03/09/2004	Hindle et al.			
	CE	. 6,772,756	08/10/2004	Shayan			
	CF	2002/0117175	08/29/2002	Kottayil et al.			
	CG	2004/0016427	01/29/2004	Byron et al.			
	СН	-					
	CI						
	CJ						
	СК						
	CL	,					
	СМ						
	CN						
	со						
	CP						
	CQ						
	CR						
	cs	-					
	СТ					T	
	CU						
	cv]	
	cw						
•	cx			"			
	CY						
	cz		-				
	CAA	· <u>- · · · · · · · · · · · · · · · · · ·</u>					
	СВВ						
	ссс	··· ·					
	CDD					1	
	CEE						
	CFF				,		
	CGG					1	

EXAMINER DATE CONSIDERED

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and <u>not</u> considered. Include copy of this form with next communication to applicant.